

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:- BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending : 30th September 2016
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes*	
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

BALASORE ALLOYS LIMITED

Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VI)+(V)+(VII)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities		No. of Shares Underlying Outstanding Convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	
								No of Voting Rights	Total % of (A+B+C)			No. (a)	As a % of total Shares held(b)			No. (a)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (vi)+(v)+(vi)	(viii)As a % of (A+B+C)	(ix)	(x)	(xi) = (vii)+(x)	(xii)As a % of (A+B+C)	(xiii)	(xiv)	(xv)	(xvi)	
(A)	Promoter & Promoter Group	18	44535816	0	0	44535816	56.4528	0	44535816	56.4528	0	32,7826	1,460,000	14,604,790	32,7900	44531156
(B)	Public	124865	34354595	0	0	34354595	43.5472	0	34354595	43.5472	0	0.0000	0	0	0.0000	24917629
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0	0.0000	0
	Total	124883	78890411	0	0	78890411	100.0000	0	78890411	100.0000	0	18,5066	1,460,000	14,604,790	18,5127	68848785

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of Shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying convertible securities (including Warrants)	Sharesholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
								Class X	Class Y	Total			No. (a)	As a % of total Shares held(b)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) As a % of (A+B+C2)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)	
1	Indian Individuals / Hindu Undivided Family															
(a)	SEEMA LOHIA	1	528880	0	0	528880	0.6703	528880	0	0.0000	0	0.0000	0	0.0000	0	528880
	PRASAD KUMAR MITTAL	1	5710	0	0	5710	0.0100	5710	0	0.0000	0	0.0000	0	0.0000	2330	40.8100
	VINOD KUMAR MITTAL	1	2330	0	0	2330	0.0000	2330	0	0.0000	0	0.0000	0	0.0000	2330	100.0000
	SANTOSH KUMAR BATERIA	1	560	0	0	560	0.0000	560	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	SAROO BATERIA	1	420	0	0	420	0.0000	420	0	0.0000	0	0.0000	0	0.0000	420	100.0000
	ARCHANA MITTAL	1	420	0	0	420	0.0000	420	0	0.0000	0	0.0000	0	0.0000	420	100.0000
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(e)	Individuals (Non-Resident Individuals / Foreign Individuals)															
(f)	Institutions															
(g)	Foreign Portfolio Investor															
(h)	INDUSTRIAL HOLDING LIMITED	1	1304100	0	0	1304100	1.6530	1304100	0	0.0000	0	0.0000	0	0.0000	0	1304100
	ISAL STEEL HOLDINGS LTD	1	689400	0	0	689400	0.8738	689400	0	0.0000	0	0.0000	0	0.0000	0	689400
	SECUREX HOLDING LIMITED	1	365500	0	0	365500	0.4638	365500	0	0.0000	0	0.0000	0	0.0000	0	365500
(i)	GLOBAL STEEL HOLDINGS LIMITED	1	34020	0	0	34020	0.0433	34020	0	0.0000	0	0.0000	0	0.0000	0	34020
	Any Other (Specify)	4	2593420	0	0	2593420	3.3038	2593420	0	0.0000	0	0.0000	0	0.0000	0	2593420
	Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)	18	44535816	0	0	44535816	56.4528	44535816	0	0.0000	0	0.0000	0	0.0000	24604790	32.7826
																44531156
2	Foreign Individuals (Non-Resident Individuals / Foreign Individuals)															
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)															
(b)	Government															
(c)	Institutions															
(d)	Foreign Portfolio Investor															
(e)	INDUSTRIAL HOLDING LIMITED	1	3300000	0	0	3300000	4.1860	3300000	0	0.0000	0	0.0000	0	0.0000	0	3300000
	DAARUNI INVESTMENTS LTD	1	3300000	0	0	3300000	4.1860	3300000	0	0.0000	0	0.0000	0	0.0000	0	3300000
	NAVYASHA REAL ESTATE PRIVATE LIMITED	1	1801880	0	0	1801880	2.2840	1801880	0	0.0000	0	0.0000	0	0.0000	1800000	1.801880
	NAVODAY EXIM PRIVATE LIMITED	1	500000	0	0	500000	0.6138	500000	0	0.0000	0	0.0000	0	0.0000	500000	500000
	JALYARANG VANUJA PRIVATE LIMITED	1	800000	0	0	800000	10.1406	800000	0	0.0000	0	0.0000	0	0.0000	800000	800000
	TRUST	14	42142396	0	0	42142396	51.8189	42142396	0	0.0000	0	0.0000	0	0.0000	42142396	42142396
	Sub Total (A1)															
	Total Shareholding of Promoter and Promoter Group (A) = (A1)+(A2)															

Details of Shares which remain unclaimed may be given bear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders	PAN	(i) No. of shareholders	(ii) No. of fully paid up equity shares held	(iii) Partly paid-up equity shares held	(iv) Nos. of shares underlying Depository Receipts	(v) Total nos. shares held	(vi) Shareholding as per SCRR, 1957 as a % of (A+B+C)	(vii) Number of Voting Rights held in each class of securities			(viii) No. of Shares Underlying convertible securities (including Warrants)	(ix) Total Shareholding, as a % accruing full conversion of convertible securities (as a percentage of diluted share capital)	(x) Number of Locked In shares	(xi) Number of Shares pledged or otherwise encumbered	(xii) Number of equity shares held in dematerialised form
								(a) Class X	(b) Class Y	(c) Total					
1 Institutions															
(a) Mutual Fund		4	13600	0	0	13600	0.0172	13600	0.0172	0	0.0000	0	0.0000	100	
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(f) INDIA FOCUS CARDINAL FUND	AABC1951D	1	1697013	0	0	1697013	2.1511	1697013	2.1511	0	0.0000	0	0.0000	1697013	
(g) Financial Institutions / Banks		29	598283	0	0	598283	0.7584	598283	0.7584	0	0.0000	0	0.0000	103561	
(h) Insurance Companies		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(i) Provident Funds / Pension Funds		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(j) Any Other (Specify)		34	2308896	0	0	2308896	2.9267	2308896	2.9267	0	0.0000	0	0.0000	1707474	
(k) Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(l) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(m) Sub Total (B)(1)		122738	18928025	0	0	18928025	23.9928	18928025	23.9928	0	0.0000	0	0.0000	10148437	
2 Individuals															
(a) i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		122738	18928025	0	0	18928025	23.9928	18928025	23.9928	0	0.0000	0	0.0000	10148437	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b) SANGHEETHA S	AUPS3799F	1	871270	0	0	871270	1.1044	871270	1.1044	0	0.0000	0	0.0000	871270	
(c) SADHANA MEHROTRA	AKBPM3652M	1	821000	0	0	821000	1.0406	821000	1.0406	0	0.0000	0	0.0000	821000	
(d) OTHERS		49	4259254	0	0	4259254	5.3989	4259254	5.3989	0	0.0000	0	0.0000	4259254	
(e) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(f) Employee Trusts		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(g) Overseas Depositories (holding Drts) (excluding figure)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(h) Any Other (Specify) (RUST)		6	1084	0	0	1084	0.0014	1084	0.0014	0	0.0000	0	0.0000	1084	
(i) Non Resident Indians		1238	592290	0	0	592290	0.7503	592290	0.7503	0	0.0000	0	0.0000	321261	
(j) Foreign Companies		4	326350	0	0	326350	0.4137	326350	0.4137	0	0.0000	0	0.0000	0	
(k) Bodies Corporate		774	6246426	0	0	6246426	7.9179	6246426	7.9179	0	0.0000	0	0.0000	6187849	
(l) Sub Total (B)(2)		124931	32045699	0	0	32045699	40.6205	32045699	40.6205	0	0.0000	0	0.0000	22610155	
(m) Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		124865	34354595	0	0	34354595	43.5472	34354595	43.5472	0	0.0000	0	0.0000	24517629	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
 Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following person/institutions/Non Institutions holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of underlying Depository Receipts	Total nos. shares held	Shareholding % as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form N.A.
								No of Voting Rights	Class X	Class y				No.(N.A)	As a % of total Shares held N.A	
		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			
1. Custodian/DR Holder																
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
2. Total Non-Promoter- Non Public Shareholding (C=(I)+(II)+(III)+(IV)+(V)+(VI)+(VII)+(VIII)+(IX)+(X)+(XI)+(XII)+(XIII)+(XIV))																
Grand Total (A+B+C)		124883	78890411	0	0	78890411	100.0000	0	100.0000	14600000	18.5066	14604790	18.5127	68848785		

Note:

{1} PAN would not be displayed on website of Stock Exchange(s).

{2} The above format needs to disclose name of all holders holding more than 1% of total number of shares

{3} W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available