

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:- BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending : 30th September,2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledged or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.




BALASORE ALLOYS LIMITED

Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y			Total	No. (a)	As a % of total Shares held(b)	No. (a)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)				
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	0	57338640	61.4395	24935896	25647464	44.7298	57333980			
(B)	Public	119391	35986771	0	0	35986771	38.5605	0	35986771	38.5605	0	0	0	27393017			
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0			
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0	0	0			
	Total	119411	93325411	0	0	93325411	100.00	93325411	100.0000	100.0000	24935896	25647464	27.4818	84726997			

Signature

KOLKATA
TRANSFER AGENT LTD.

BALASORE ALLOYS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	No. of shares held	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Depository / floceipos	Total no. shares held (VI)+(VII)+(VIII)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C)	Number of Voting Rights held in each class of securities			No. of Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			(a)	(b)			(c)
1	Indian Individuals / Hindu Undivided Family																
(a)	PRAMOD KUMAR MITTAL	AELAM0092F	5710	0	0	5710	0.0061	5710	0	0	0	0	330	59,1944	2330	40,8056	3380
	VINOD KUMAR MITTAL	AELAM0093E	2330	0	0	2330	0.0025	2330	0	0	0	0	0	0	0	0	0
	SANTOSH KUMAR RATERIA	ADAPZ447K	560	0	0	560	0.0006	560	0	0	0	0	0	0	0	0	560
	SAROO BATERIA	ADDPRE20L	154300	0	0	154300	1.6533	154300	0	0	0	0	0	0	0	0	154300
	ARCHANA MITTAL	AJFPM214AM	420	0	0	420	0.0005	420	0	0	0	0	0	0	0	0	420
(b)	Central Government / State Government(s)		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
	Bodies Corporate																
	GOLDINE TRACOM PVT LTD	AAAGSR86R	12402346	0	0	12402346	13.2694	12402346	0	0	0	0	102216	12300130	95,1758	12402346	
	NAVODAY HIGHRISE PRIVATE LIMITED	AAACOS150R	6942674	0	0	6942674	7.4392	6942674	0	0	0	0	0	6942674	100,0000	6942674	
	NAVODAY NIKETAN PRIVATE LIMITED	AAOCJ193SD	4100000	0	0	4100000	4.3932	4100000	0	0	0	0	0	4100000	100,0000	4100000	
	NAVODAY CONSULTANTS LIMITED	AAACN998RR	3300000	0	0	3300000	3.5360	3300000	0	0	0	0	0	3300000	0	3300000	
	DANKUNI INVESTMENTS LTD	AAABCD1603I	3300000	0	0	3300000	3.5360	3300000	0	0	0	0	0	3300000	0	3300000	
	NAVOSHIA REAL ESTATE PRIVATE LIMITED	AAABCK179P	1801860	0	0	1801860	1.9307	1801860	0	0	0	0	1860	1800000	98,8957	1801860	
	NAVODAY EXIM PRIVATE LIMITED	AAACCS24R	500000	0	0	500000	0.5358	500000	0	0	0	0	0	500000	100,0000	500000	
	JALTAARANG VANIYA PRIVATE LIMITED	AAACJ352ZF	8000000	0	0	8000000	8.5722	8000000	0	0	0	0	0	8000000	100,0000	8000000	
	TRUST		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(1)		13,405,102.26	0	0	13,405,102.26	14.6075	13,405,102.26	0	0	0	0	102,216	12,300,130	95,175.8	13,405,102.26	
2	Foreign																
(a)	Individuals (Non-Resident Individuals / Foreign individuals)																
(b)	Government		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)																
(f)	INDIAL HOLDING LIMITED	AAIC6466H	1304100	0	0	1304100	1.3974	1304100	0	0	0	0	0	1304100	100,0000	1304100	
	SPAT STEEL HOLDINGS LTD	AAAB6467K	689400	0	0	689400	0.7387	689400	0	0	0	0	0	689400	100,0000	689400	
	SECUREX HOLDINGS LIMITED	AAACSS999H	965900	0	0	965900	0.9921	965900	0	0	0	0	0	965900	100,0000	965900	
	GLOBAL STEEL HOLDING LIMITED	AAOCST800K	34020	0	0	34020	0.0365	34020	0	0	0	0	0	34020	100,0000	34020	
	PRASAN GLOBAL VENTURES SINGAPORE PTE. LTD	AAACPS6558E	4895017	0	0	4895017	5.2451	4895017	0	0	0	0	0	4895017	100,0000	4895017	
	DIRECT TRADING & INVESTMENTS SINGAPORE PTE. LTD	AAFC3751JF	2900000	0	0	2900000	3.1074	2900000	0	0	0	0	0	2900000	100,0000	2900000	
	GLOBAL STEEL HOLDINGS PVT. LTD	AAACG6286K	6639983	0	0	6639983	7.1146	6639983	0	0	0	0	0	6639983	100,0000	6639983	
	Sub Total (A)(2)		1,603,842.0	0	0	1,603,842.0	1.6830	1,603,842.0	0	0	0	0	0	1,603,842.0	100,0000	1,603,842.0	
	Total Shareholding of Promoter and Promoter Group (A)-(A)(1)+(A)(2)		15,008,944.26	0	0	15,008,944.26	16.2905	15,008,944.26	0	0	0	0	102,216	13,903,972.26	95,175.8	15,008,944.26	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Notes:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term "Shareholder" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
- (3) The Documents of Incorporation (ED) vide letter F. No. 628/09/02-1/2017 dated 30th October, 2017 has been filed with the Depository Participant of the Promoter Companies and MCA 21. The Registrar of Companies (ROC) vide letter F. No. 628/09/02-1/2017 dated 30th October, 2017 has directed the Depository Participant of the Promoter Companies to issue the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to lock-in. However, the Hon'ble Supreme Court of India vide its Order dated 12.03.2015, has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.
- (4) Corporate Action for Credit Continuation of 4,35,000 shares are still pending. Part of the entire shareholding of the Promoter's 4,35,000 equity shares (0.005% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 31(2) of Listing Regulations, 2015. 4,650 equity shares of the Promoters are lying as collateral securities with bank. The same shall be dematerialized in due course in consultation with the bank.



BALASORE ALLOYS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of Shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % as per SCRR, 1957 as a % of (A+B+C)	Number of Voting Rights held in each class of securities			No. of Underlying Securities (Including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
								Class X	Class Y	Total					
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) As a % of (A+B+C)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)		
1 Institutions															
(a) Mutual Fund															
(b) Venture Capital Funds															
(c) Alternate Investment Funds															
(d) Foreign Venture Capital Investors															
(e) Foreign Portfolio Investor															
INDIA FOCUS CARDINAL FUND	AARCS18RD		1697013			1697013	1.8184	1697013		1.8184	0	0.0000	0	0.0000	
Financial Institutions / Banks		26	590983			590983	0.6332	590983		0.6332	0	0.0000	0	0.0000	
Insurance Companies															
Provident Funds/ Pension Funds															
Any Other (Specify)		31	2301596			2301596	2.4662	2301596		2.4662	0	0.0000	0	0.0000	
Central Government/ State Government(s)/ President of India															
Central Government / State Government(s)															
Sub Total (B)(2)															
3 Non-institutions															
(a) Individuals															
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		117518	24057671			24057671	25.7783	24057671		25.7783	0	0.0000	0	0.0000	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.															
SUBRAMANIAN P	AMBPS8931K	1	1532050			1532050	1.6416	1532050		1.6416	0	0.0000	0	0.0000	
OTHERS		38	3476504			3476504	3.7251	3476504		3.7251	0	0.0000	0	0.0000	
(b) NBFCs registered with RBI															
(c) Employee Trusts															
(d) Overseas Depositories(holding DRs) (balancing figure)															
Any Other (Specify)(TRUST)		3	982			982	0.0011	982		0.0011	0	0.0000	0	0.0000	
INVESTOR EDUCATION AND PROTECTOR FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS		1	741653			741653	0.7947	741653		0.7947	0	0.0000	0	0.0000	
(e) Non Resident Indians		1277	841752			841752	0.9020	841752		0.9020	0	0.0000	0	0.0000	
Foreign Companies		3	326250			326250	0.3496	326250		0.3496	0	0.0000	0	0.0000	
Bodies Corporate		519	2708313			2708313	2.9020	2708313		2.9020	0	0.0000	0	0.0000	
Sub Total (B)(3)		119360	35685175			35685175	36.0943	35685175		36.0943	0	0.0000	0.0000	0.0000	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		119361	35986771			35986771	36.5605	35986771		36.5605	0	0.0000	0	0.0000	

Details of the shareholders acting as persons in concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons/institutions/Non institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(e) of Companies Act, 2013



BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of sharehold- ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Sharehold- ing % calculated as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form N.A.
								No of Voting Rights	Class X	Class Y				Total	As a % of total Shares held	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)			
1. Custodian/DR Holder																
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																
2. Total Non-Promoter- Non Public Shareholding (C= (C1)+(C2))																

Grand Total (A+B+C)	119411	93325411	0	0	0	93325411	100.0000	93325411	100.0000	0	100.0000	24935896	26.7193	25647464	27.4818	84726997
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(1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Sudip Banerjee


BALASORE ALLOYS LIMITED

Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the SBO (I)		Details of the registered owner (II)		Details of holding/exercises of right of the SBO in the reporting company, whether direct or				Date of creation / acquisition significant beneficial interest*(IV)
	Name	PAN/Pass port No. in case of a foreign national	Name	PAN/Pass port No. in case of a foreign national	Nationality	Shares	Whether by virtue of:	%	
							Voting Rights	%	
							Right on distributable dividend or any other distribution	%	
							Exercise of control		
							exercise of significant influence		

*Increase the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column IB, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No BEN-2 as submitted to the Registrar.

(Signature)

